



Elisa DA SILVA

## Professional experience (in Luxembourg)

### **2013 - 2014 : East Capital Asset Management S.A (Luxembourg)- Swedish Investment Company**

*Position:* COMPLIANCE OFFICER

*Main achievements:* implementation of procedures and controls in line with AIFM requirements.

### **2012 - 2013 : EastSpring Investments (Luxembourg) S.A (UK Prudential Group) - Asia asset management business**

*Position:* CONDUCTING OFFICER, COMPLIANCE OFFICER AND CORPORATE GOVERNANCE OFFICER

*Main achievements:* implementation of controls for the oversight of delegated functions and compliance risk assessment.

### **2008 - 2012 : Allfunds International S.A. Luxembourg (Santander Group) – Spanish investment firm, platform of funds' distribution**

*Position:* GROUP COMPLIANCE MANAGER

*Main achievements:* for this fund distribution platform (in European Union and in non-EU countries), implementation of controls and distributor due diligence (anti-money laundering and counter terrorist financing risks).

### **2005 - 2008 : Espirito Santo Luxembourg (since August 2014 , Novo Banco) – Portuguese private bank**

*Position:* COMPLIANCE & LEGAL OFFICER

*Main achievements:* implementation of anti-money laundering and counter terrorist financing procedures and controls; IRS reporting on US assets.

### **2003 - 2004 : Fidelity Investments Luxembourg – Investment company**

*Position:* COMPLIANCE & LEGAL OFFICER

*Main achievements:* legal tasks related to the UCITS funds, review of existing compliance procedures

### **2002 : Winterthur Europe- Vie Luxembourg – Swiss life-insurance company**

*Position:* LEGAL & COMPLIANCE ASSISTANT

*Main achievements:* anti-money laundering and counter terrorist financing's procedures, implementation of Sanctions Lists' controls and maintenance of a legal data base.

## Qualifications

- **Postgraduate Degree in Law (i.e. Diploma of Advanced Studies) – University of Burgundy, Dijon, in France**  
Economic Law & International Law
- **Master degree in Law – University of Burgundy, Dijon, in France:** European Trade Law & International Law

## Ongoing training

### • **International Faculty for Executives (IFE) BENELUX**

2009/2010/2011/2012: Anti-money laundering and counter terrorist financing: *24 hours/year.*

2011/2012: remuneration (*16 hours/year*); conflicts of interest (*16 hours/year*)

2008: market abuse (*16 hours/year*)

2009/2010: MIFID (*24 hours/year*)

### • **IFBL, Luxembourg**

2012/2013: Anti-money laundering and counter terrorist financing: *24 hours/year.*

2012: Management companies, chapter 15 Law 17 December 2010 (*16 hours*)

2013/2014: AIFM (*16 hours/year*)

### • **ALCO/IFBL**

2014: Compliance certification, level M3

## Languages

Fluency in French, English, Spanish & Portuguese